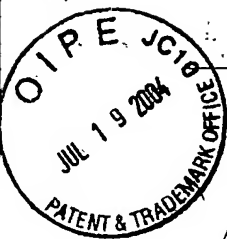


PATENT *1fw*

Case Docket No. ENDIUS.26CP1C3

Date: July 16, 2004



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Thomas W. Davison
Appl. No. : 10/686,154
Filed : October 15, 2003
For : METHOD OF SECURING
VERTEBRAE
Examiner : Unknown
Group Art Unit : Unknown

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

July 16, 2004
(Date)
Andrew M. Douglas
Andrew M. Douglas Reg. No. 51,212

TRANSMITTAL LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 listing one hundred seven (107) references, including copies of 17 references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

Andrew M. Douglas
Andrew M. Douglas
Registration No. 51,212
Attorney of Record
Customer No. 20,995
(949) 760-0404



INFORMATION DISCLOSURE STATEMENT

Applicant : Thomas W. Davison
App. No. : 10/686,154
Filed : October 15, 2003
For : METHOD OF SECURING VERTEBRAE
Examiner : Unknown
Group Art Unit : Unknown

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed is form PTO-1449 listing 107 references. Copies of disclosed U.S. patents and/or publications are not included pursuant to PTO waiver of the requirement under 37 C.F.R. § 1.98(a)(2)(i) for applications filed after June 30, 2003. Copies of other references, if listed, are enclosed.

This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: July 16, 2004

By: Andrew M. Douglas
Andrew M. Douglas
Registration No. 51,212
Attorney of Record
Customer No. 20,995
(949) 760-0404

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
ENDIUS.26CP1C3APPLICATION NO.
10/686,154INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

(USE SEVERAL SHEETS IF NECESSARY)

APPLICANT
Thomas M. DavisonFILING DATE
October 15, 2003GROUP
Unknown

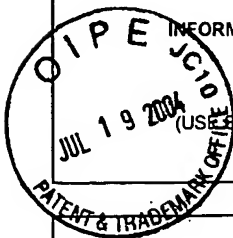
U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	1	2,594,086	04/22/52	Smith			
	2	3,044,461	07/17/62	Murdock			
	3	3,789,852	02/05/74	Kim et al.			
	4	4,254,763	03/10/81	McCready et al.			
	5	4,545,374	10/08/85	Jacobson			
	6	4,601,713	07/22/86	Fuqua			
	7	4,638,799	01/27/87	Moore			
	8	4,716,901	01/05/88	Jackson et al.			
	9	4,750,487	06/14/88	Zanetti			
	10	4,819,620	04/11/89	Okutsu			
	11	4,863,133	09/05/89	Bonnell			
	12	4,921,478	05/01/90	Solano et al.			
	13	4,984,564	01/15/91	Yuen			
	14	5,025,778	06/25/91	Silverstein et al.			
	15	5,131,382	07/21/92	Meyer			
	16	5,139,499	08/18/92	Small et al.			
	17	5,163,949	11/17/92	Bonutti			
	18	5,171,279	12/15/92	Mathews			
	19	5,190,561	03/02/93	Graber			
	20	5,195,541	03/23/93	Obenchain			
	21	5,197,971	03/30/93	Bonutti			
	22	5,224,680	07/06/93	Greenstein et al.			
	23	5,287,845	02/22/94	Faul et al.			
	24	5,295,994	03/22/94	Bonutti			
	25	5,312,417	05/17/94	Wilk			
	26	5,354,302	10/11/94	Ko			
	27	5,370,647	12/06/94	Graber et al.			
	28	5,395,317	03/07/95	Kambin			

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.



FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ENDIUS.26CP1C3	APPLICATION NO. 10/686,154
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Thomas M. Davison	
		FILING DATE October 15, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	29	5,439,464	08/08/95	Shapiro			
	30	5,441,042	08/15/95	Putman			
	31	5,454,365	10/03/95	Bonutti			
	32	5,472,426	12/05/95	Bonati et al.			
	33	5,484,437	01/16/96	Michelson			
	34	5,489,307	02/06/96	Kuslich et al.			
	35	5,520,607	05/28/96	Frassica et al.			
	36	5,571,072	11/05/96	Kronner			
	37	5,575,754	11/19/96	Konomura			
	38	5,601,690	02/11/97	Gauld et al.			
	39	5,667,520	09/16/97	Bonutti			
	40	5,707,359	01/13/98	Bufalini			
	41	5,792,044	08/11/98	Foley et al.			
	42	5,795,289	08/18/98	Wytenbach			
	43	5,827,319	10/27/98	Carlson et al.			
	44	5,888,190	03/30/99	Meyer et al.			
	45	5,902,231	05/11/99	Foley et al.			
	46	5,954,635	09/21/99	Foley et al.			
	47	5,961,499	10/05/99	Bonutti et al.			
	48	5,997,508	12/07/99	Lunn et al.			
	49	6,120,437	09/19/00	Yoon et al.			
	50	6,162,236	12/19/00	Osada			
	51	6,171,299 B1	01/09/01	Bonutti			
	52	6,187,000 B1	02/13/01	Davison et al.			
	53	6,221,082 B1	04/24/01	Marino et al.			
	54	6,306,170 B2	10/23/01	Ray			
	55	6,312,443 B1	11/06/01	Stone			
	56	6,338,730 B1	01/15/02	Bonutti et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ENDIUS.26CP1C3	APPLICATION NO. 10/686,154
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Thomas M. Davison	
		FILING DATE October 15, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	57	6,358,226 B1	03/19/02	Ryan			
	58	6,361,488 B1	03/26/02	Davison et al.			
	59	6,371,968 B1	04/16/02	Kogasaka et al.			
	60	6,383,195 B1	05/07/02	Richard			
	61	6,494,893 B2	12/17/02	Dubrul et al.			
	62	6,497,654 B1	12/24/02	Leonard et al.			
	63	6,524,320 B2	02/25/03	DiPoto			
	64	6,530,880 B2	03/11/03	Pagliuca			
	65	6,530,926 B1	03/11/03	Davison			
	66	6,530,930 B1	03/11/03	Marino et al.			
	67	6,564,078 B1	05/13/02	Marino et al.			
	68	6,575,899 B1	06/10/03	Foley et al.			
	69	6,652,553 B2	11/25/03	Davison et al.			
	70	6,679,833 B2	01/20/04	Smith et al.			
	71	2001/0011170 A1	08/02/01	Davison et al.			
	72	2001/0049498 A1	12/06/01	Davison et al.			
	73	2002/0002360 A1	01/03/02	Orth et al.			
	74	2002/0022764 A1	02/21/02	Smith et al.			
	75	2002/0143235 A1	10/03/02	Pagliuca			
	76	2003/0009130 A1	01/09/03	Stecker et al.			
	77	2003/0014068 A1	01/16/03	Bonutti et al.			
	78	2003/0040656 A1	02/27/03	Pagliuca et al.			
	79	2003/0073998 A1	04/17/03	Pagliuca et al.			
	80	2003/0139648 A1	07/24/03	Foley et al.			
	81	2003/0153927 A1	08/14/03	DiPoto et al.			
	82	2003/0191371 A1	10/09/03	Smith et al.			
	83	2003/0195405 A1	10/16/03	Marino et al.			

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. ENDIUS.26CP1C3	APPLICATION NO. 10/686,154
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)		APPLICANT Thomas M. Davison	
		FILING DATE October 15, 2003	GROUP Unknown

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	84	2003/0195493 A1	10/16/03	Davison et al.			
	85	2003/0195549 A1	10/16/03	Davison et al.			
	86	2003/0195550 A1	10/16/03	Davison et al.			
	87	2003/0195551 A1	10/16/03	Davison et al.			
	88	2003/0199871 A1	10/23/03	Foley et al.			
	89	2004/0059339 A1	03/25/04	Roehm, III et al.			
	90	2003/0199885 A1	10/23/03	Davison et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	91	EP 0 528 562 A2	02/24/93	European Patent Office				
	92	EP 0 807 415 A3	08/12/98	European Patent Office				
	93	EP 0 980 677 A1	02/23/00	European Patent Office				
	94	EP 1 251 767 A2	10/30/02	European Patent Office				
	95	EP 1 305 077 A1	05/02/03	European Patent Office				
	96	FR 2 701 379	08/19/94	French Patent Office				X
	97	JP 2000-83960 A2	03/28/00	Japanese Patent Office				X
	98	JP 2001-149376 A2	06/05/01	Japanese Patent Office				X
	99	WO 01/54560 A3	08/02/01	Patent Cooperation Treaty				
	100	WO 02/09801 A1	02/07/02	Patent Cooperation Treaty				
	101	WO 02/078767 A2	10/10/02	Patent Cooperation Treaty				
	102	WO 92/21292 A2	02/18/93	Patent Cooperation Treaty				
	103	WO 93/14801 A1	08/05/93	Patent Cooperation Treaty				
	104	WO 94/03114 A1	02/17/94	Patent Cooperation Treaty				
	105	WO 95/10218 A1	04/20/95	Patent Cooperation Treaty				
	106	WO 95/32663 A1	12/07/95	Patent Cooperation Treaty				

EXAMINER

DATE CONSIDERED

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANT (USE SEVERAL SHEETS IF NECESSARY)	ATTY. DOCKET NO. ENDIUS.26CP1C3	APPLICATION NO. 10/686,154
	APPLICANT Thomas M. Davison	
	FILING DATE October 15, 2003	GROUP Unknown

FOREIGN PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	107	WO 03/07783 A2	01/30/03	Patent Cooperation Treaty				

H:\DOCS\JTE\JTE-1298.DOC:sh
071404

EXAMINER	DATE CONSIDERED
*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.	